UK Renal Registry 16th Annual Report: Chapter 6 Demographics and Outcomes of Patients from Different Ethnic Groups on Renal Replacement Therapy in the UK

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Key Words

 $\label{eq:scess} Access \cdot Demography \cdot Ethnic \ group \cdot Ethnicity \cdot Hospitalisation \cdot Incidence \cdot Outcome \cdot Survival \cdot Transplantation$

Summary

- Data returns on ethnicity have significantly improved over the years to approximately 97% completeness in 2012.
- There was considerable variation in ethnicity breakdown between centres; at the London Barts and London West centres only 38% and 45% respectively of incident patients were White compared with 99% at some of the South West centres.
- The age-gender standardized incidence ratio of renal replacement therapy (RRT) was higher (2–3 times) in regions with a high ethnic minority population compared to those with a low ethnic minority population.
- South Asian and Black patients were significantly younger than Whites (with median ages of 58.7, 54.4, 65.5 years respectively, p < 0.0001); had more diabetes causing established renal failure (ERF) (40.2%, 31.0%, 25.0% respectively, p < 0.0001) and lived in more deprived areas.

- The proportion of patients with at least one comorbidity was greater amongst White patients compared to South Asian and Black patients (55.5%, 45.8%, 37.1% respectively, p < 0.0001).
- South Asian and Black patients were referred earlier to renal centres; started RRT at a lower eGFR and had a lower Hb at the start of RRT compared to White patients. The proportion of patients starting PD and having pre-emptive transplantation was lower amongst both ethnic minorities.
- The attainment of various laboratory standards was comparable or better for the ethnic minorities compared to White patients except for calcium standard attainment (for South Asians) and haemodialysis dose attainment (for Black patients).
- Compared to White patients, both ethnic minorities had similar rates of listing for deceased donor kidney transplantation but had lower rates of deceased donor transplantation once wait-listed, and lower rates of living kidney donor transplantation.
- One and five year kidney allograft adjusted survival was poorer for Black patients but similar for South Asians compared to White patients.
- Black and South Asian patients had a better survival on dialysis compared to White patients.

Introduction

The ethnic minority population in the UK has increased from 9.7 % in the 2001 Census to 13% in the most recent 2011 Census [1]. Although the ethnic make-up of the UK is increasingly diverse, this chapter mainly reports on the characteristics and comparisons of patient level outcomes of those on renal replacement therapy (RRT) from the three main ethnic groups: White, South Asian and Black. Patients from other ethnic groups were a heterogeneous population and accounted for a small proportion of all patients on RRT and therefore are not discussed in detail in this chapter.

Methods

Data on patients (>18 years old) from all 71 UK adult renal centres starting RRT between 2003 and 2012 and who did not recover renal function within 90 days and who had data on ethnicity were considered. Centres in Scotland were excluded from further analysis due to poor ethnicity data completeness (15.3%). The patient cohort used for the various analyses differ slightly, and these variations are described in the individual sections. Details of ethnicity coding used by centres and regrouping of these codes by the UK Renal Registry (UKRR) can be found in appendix H at www.renalreg.com.

Regional variations in RRT incidence rates by ethnic group

Data completeness for ethnicity for patients on RRT has improved over the years. The proportion of patients with missing ethnicity data has decreased from 13.3 % in 2003 to 3% in 2012. As missing ethnicity data would bias the estimates of incidence rates in a population, only patients starting RRT in the years 2010–2012 (~98 % ethnicity data completeness) were included in this analysis. Details of methods used to calculate age–gender standardized incidence rates can be found in appendix D at www.renalreg.com. As census data for Northern Ireland population by ethnic group was not available for all age groups above 65 years, Northern Ireland centres were excluded from analyses that required age–gender standardization.

Demographics and clinical characteristics

All patients starting RRT between 2003 and 2012 with data on ethnicity from centres in England, Wales and Northern Ireland were included for these analyses. The following patient characteristics at start of RRT were studied: age, gender, social deprivation, primary renal diagnosis, comorbidity, estimated glomerular filtration rate (eGFR), haemoglobin (Hb), time between first seen at renal centre and start of RRT (<90 days, 90-365 days, >365 days), and treatment modality at start, 90 days and at 1 year from start of RRT.

Details of EDTA coding for primary renal diagnosis used by renal centres can be found in appendix H at www.renalreg.com. Details of comorbid conditions listed in the UKRR dataset and their regrouping are described elsewhere [2]. Social deprivation was measured at super output area level using the adjusted Index of Multiple Deprivation (IMD) [3]. The super output areas were sorted by their IMD score and divided into quintiles, a high IMD quintile indicating a higher level of deprivation. Each patient was allocated an IMD score and a quintile by matching their postcode of residence to the 2001 Census lower layer super output area.

eGFR was calculated using the 4 variable MDRD study equation [4] using the most recent creatinine data that was available within 14 days before start of RRT. Similarly, the most recent Hb data within 14 days before start of RRT only were included in the analyses.

Chi-squared and Kruskal Wallis tests were used to compare groups where appropriate.

Patient outcome measures

1) Attainment of laboratory standards on dialysis

Only patients who started RRT from 2003 to 2011 and were on dialysis at the end of their first year of RRT were included in the analyses. Values from the 4th quarter (or 3rd quarter if 4th quarter reading not available) in the first year of RRT for each of the following variables were used to ascertain achievement of standards set by the UK Renal Association: Hb 100-120 g/L; phosphate (PO4) 1.1-1.7 mmol/L; corrected calcium (Ca) 2.2-2.5 mmol/L; parathyroid hormone (PTH) 16-72 pmol/L; urea reduction ratio (URR) >65%. Patients who did not have a recorded value for a laboratory variable either in the 3rd or 4th quarter in their first year of RRT were excluded from the analysis for that laboratory standard. For patients on HD, all the variables were measured pre-dialysis. For the analysis on URR in HD patients, patients on home dialysis and those who received less than three dialysis sessions per week were not included. Logistic regression analyses were performed to compare attainment of standards between ethnic groups adjusting for age (<35, 35-44, 45-54, 55-64, 65-74, 75+ years), gender, primary renal diagnosis, year of start of RRT, dialysis modality at one year, IMD quintile and centre as fixed effect. Adjustments for comorbidity were not performed due to incomplete data.

2) Access to kidney transplantation

The UKRR has previously reported on access to transplantation for the various ethnic groups in the UK and the detailed methodology is described in those reports [5, 6, 7].

3) Kidney transplant outcomes

Kidney allograft survival and allograft function amongst those with a functioning graft at one year and five years were compared between the ethnic groups. For those who had more than one kidney transplant during the study period, only the first transplant episode was included in the analyses. For the one year graft outcomes analyses, patients who had a kidney only transplant and who had data on ethnicity, IMD score, primary renal diagnosis and donor type between 2003 and 2011 were included. For the five year graft outcome analyses, patients who had a kidney only transplant between 2003 and 2007 were included in the analyses to allow five year follow up for all patients. Kaplan-Meier analyses with and without censoring for death were performed to compare unadjusted graft survival between ethnic groups. Cox proportional hazards model censoring for death, and death with functioning graft as a competing event were performed adjusting for age at transplant as a continuous variable, gender, primary renal diagnosis, IMD quintile, year of transplant, time on RRT prior to transplantation and type of donor (post brain stem death donor versus post circulatory death donor versus live donor). Other donor details such as donor age, cold ischaemia time, human leucocyte antigen (HLA) mismatch were not available to be included in the adjusted analyses.

Graft function amongst those with a functioning graft at one year and five years was estimated using the CKD-EPI equation [8] from the most recent serum creatinine available in the last quarter of the first and fifth years post kidney transplantation respectively.

4) Patient survival on dialysis

Patients who started RRT between 2003 and 2012 (excluding patients in the last quarter of 2012 to allow at least 90 days of RRT) and who had data on ethnicity were considered. Unadjusted survival at 90 days from start of RRT, one year from start of RRT and one year after 90 days from start of RRT is reported. For the one year after 90 day survival analyses, patients who started RRT from the last quarter of 2011 were not included to allow adequate follow up. Kaplan Meier analyses and a Cox proportional hazards model adjusting for age as a continuous variable, gender, centre as random effect, year of RRT start and IMD quintile were used with and without censoring for transplantation to compare survival after 90 days from RRT start between the ethnic groups. Due to non-proportionality, stratified analyses were performed by primary renal disease (diabetic, non-diabetics), age group (<45, 45-64, \geq 65 years) and dialysis modality at day 90 from RRT start. Patients were followed up until 31st December 2012 or death if earlier.

The EDTA codes for causes of death were used by centres and these can be found in appendix H at www.renalreg.com

There was no significant difference between those who were included and excluded due to missing ethnicity data except that the cohort without an ethnic code was older (median age 71.0 years vs. 64.2 years, p < 0.0001).

5) Hospitalisation episodes

The UKRR has done collaborative work using Hospital Episode Statistics (HES) data. This cohort included all RRT patients over the age of 18 years who started RRT for ERF in English renal centres between 1 January 2002 and 31 December 2006. Detailed methodology for this has been previously published [9]. This cohort was used to calculate unadjusted hospitalisation rates and cause of hospitalisation by ethnic group.

Results

Regional variations in incidence of RRT

Data completeness and ethnic composition by centre in the incident population 2003–2012 is shown in table 6.1. Overall completeness was 92%, excluding Scottish centres. There was huge variation between centres in the proportion of non-White patients on RRT in each centre, from 62% in London Barts and 55% in London West to 1% in some of the South West centres, with an overall median of 6%. Ethnic distribution of the population accounted for some of the regional variations in RRT incidence. The age–gender standardized incidence ratio of RRT was higher (2–3 times) in regions with a high ethnic minority population compared to those with a low ethnic minority population (figure 6.1). However previous work by the UKRR has shown that only 31% of this regional variation in RRT incidence in the UK could be explained by demographics, health and access to health service factors [10].

Age, gender and social deprivation

62.2% of patients were male; this degree of male preponderance was observed for White and South Asian patients although to a lesser extent with Black patients (58.0%, p < 0.0001) (table 6.2). The proportion of male patients amongst Black patients has however increased from the 48% observed in the 1997–2003 cohort.

Of all patients starting RRT in 2012, 49% were aged ≥ 65 years compared to only 16% aged ≥ 65 years in the general UK population [1]. The higher incidence of RRT amongst older people was more pronounced for Black and South Asian patients compared to White patients (incidence rate of 1,191, 1,133 and 283 per million population respectively), (table 6.3, figure 6.2).

Amongst all patients starting RRT, Black and South Asians were younger compared to White patients, with median ages of 54.4, 58.7 and 65.5 years respectively (p < 0.0001) (table 6.2).

Data on residence postcode to calculate IMD score was not available for 250 (0.5%) patients and this was not different between the ethnic groups. Black and South Asian patients predominantly lived in socially deprived areas. The proportion of patients living in IMD quintile 5 areas was greater for Black and South Asians than White patients (45.7%, 38.7%, 20.9% respectively, p < 0.0001) (table 6.4).

Primary renal disease causing ERF

Data on primary renal disease was missing for 2,473 (4.9%) of all patients and this was equally distributed between the ethnic groups.

Diabetes was the leading cause of ERF in all ethnic groups. However, the proportion of patients with diabetes as cause of ERF was greater amongst South Asian and Black patients compared to White patients (40.2%, 31.0%, 20.5% respectively) (table 6.5).

Amongst Black and South Asian patients diabetes was more common in those aged ≥ 65 years, as compared to

Table 6.1. Percentage of incident RRT patients (2003–2012) in different ethnic groups by centre

Percentage in each ethnic groupN with dataCentreWhiteAsianBlackChineseOtherN with dataEnglandBHeart 69.4 23.9 5.8 0.3 0.6 $1,040$ B QEH 68.2 19.6 8.8 0.6 2.9 $1,932$ Basldn 88.8 2.3 6.5 1.5 1.0 400 Bradfd 59.1 38.5 1.9 0.0 0.5 624 Brightn 93.6 3.6 2.1 0.0 0.8 899 Bristol 91.7 3.2 3.7 0.6 0.8 $1,562$ Camb 95.6 2.0 1.2 0.6 0.6 $1,054$ Carlis 98.5 0.8 0.0 0.4 0.4 267 Carsh 75.7 11.0 8.8 1.3 3.3 $1,623$ Chelms 94.0 3.5 1.6 0.6 0.3 319 Colchr 95.4 2.0 1.3 0.0 1.3 152 Covnt 82.1 13.1 4.1 0.7 0.0 970 Derby 85.5 8.9 3.9 1.2 0.5 662 Donc 96.7 1.4 1.4 0.0 0.5 211 Dorset 97.8 0.9 0.3 0.2 0.1 0.3 934 Glouc 96.0 1.7 1.0 0.2 1.0 577 Hull <td< th=""><th>%</th></td<>	%
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	98.7
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L Kings 56.5 10.0 31.1 1.2 1.2 1,167	92.3
L Rfree 51.0 17.5 21.8 0.9 8.9 1,444	95.1
L St.G 57.9 14.3 21.3 1.2 5.3 489	89.1
L West 45.2 32.2 16.8 0.7 5.2 3,038	95.7
Leeds 82.4 12.7 3.8 0.1 1.0 1,388	89.3
Leic 80.0 15.7 3.0 0.3 1.0 2,213	98.3
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Liv RI 93.3 1.2 1.6 1.7 2.3 1,018	87.6
M RI 77.0 11.9 8.0 0.9 2.3 890	98.0
Middlbr 95.9 3.7 0.2 0.2 0.0 979	97.1
Newc 93.8 4.2 0.6 0.4 1.1 1,009	99.2
Norwch 95.8 0.8 0.3 2.5 0.6 649	77.6
Nottm 89.2 4.9 4.7 0.0 1.3 1,202	99.9
Oxford 85.6 7.5 4.0 0.6 2.2 1,570	96.6
Plymth 98.0 0.5 0.2 0.7 0.7 608	95.5
Ports 94.4 2.9 1.5 0.0 1.2 1,448	93.7
Prestn 85.9 12.9 0.9 0.0 0.3 1,175	97.1
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		Percent	age in each ethn	ic group		Marith	0/
Centre	White	Asian	Black	Chinese	Other	- N with data	% completeness
N Ireland							
Antrim	98.8	1.2	0.0	0.0	0.0	259	95.6
Belfast	98.4	0.8	0.2	0.5	0.3	665	94.9
Newry	99.4	0.0	0.0	0.0	0.6	166	96.0
Ulster	96.5	2.8	0.0	0.7	0.0	144	98.0
West NI	98.7	0.8	0.0	0.4	0.0	237	99.2
Scotland							
Abrdn						128	23.0
Airdrie						206	42.0
D & Gall						10	5.9
Dundee						203	34.3
Dunfn						9	2.6
Edinb						26	2.9
Glasgw						51	2.8
Inverns	99.4	0.0	0.0	0.6	0.0	170	65.1
Klmarnk						44	11.2
Wales							
Bangor	97.6	0.7	0.7	0.4	0.7	286	89.1
Cardff	94.1	4.5	0.9	0.4	0.2	1,344	74.1
Clwyd	98.3	1.7	0.0	0.0	0.0	117	61.9
Swanse	98.1	1.1	0.7	0.0	0.1	1,161	98.9
Wrexm	98.3	0.9	0.4	0.0	0.4	230	83.0
England	79.5	11.0	7.1	0.6	1.8	48,109	92.5
N Ireland	98.4	1.0	0.1	0.3	0.2	1,471	96.1
Scotland						847	15.3
Wales	96.3	2.6	0.7	0.2	0.2	3,138	83.1
E, W & NI	81.0	10.2	6.5	0.6	1.7	52,718	91.9
UK	81.3	10.1	6.4	0.6	1.6	53,565	85.2

Table 6.1. Continued

Blank cells denote <20 patients or <50% data completeness

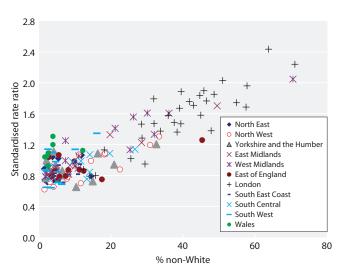


Table 6.2. Percentage distribution of gender and age at start of RRT by ethnic group in the incident population 2003–2012

	Asian	Black	White
N	5,383	3,442	42,723
% male	61.3	58.3	62.6
Age at RRT start			
% <65	64.9	67.6	48.8
% 65+	35.1	32.4	51.2
median	58.7	54.4	65.5
IQR	47.0-69.0	42.6-68.9	51.6-75.2

Table 6.3. Incidence rate by ethnic group in under 65 and over65 year age groups at RRT start (2010–2012)

Incidence rate (pmp)	Asian	Black	White
<65 years	121	160	56
≥65 years	1,133	1,191	283
Overall	179	224	97

Pmp = per million population

Fig. 6.1. Age/gender standardized incidence ratio (2010–2012) by percentage non-White

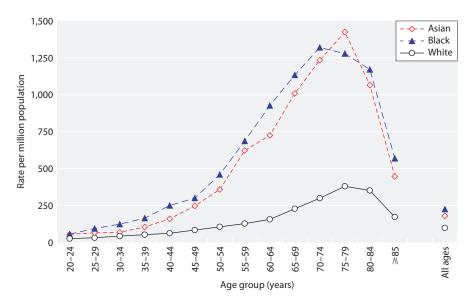


Fig. 6.2. Age profile of incident RRT patients (2010–2012), by ethnicity, in England and Wales

White patients where it was proportionally higher in those aged <65 years. This may reflect a difference between ethnic groups in the underlying type of diabetes leading to ERF. Adult polycystic kidney disease and renovascular disease accounted for a lower proportion of renal disease in the ethnic minority groups compared

Table 6.4. Percentage distribution of deprivation by ethnic groupin incident patients 2003–2012

Deprivation quintile [*] (%)	Asian	Black	White
1	7.8	4.2	17.3
2	10.1	6.4	19.9
3	17.0	12.5	21.0
4	26.5	31.3	21.0
5*	38.7	45.7	20.9

*Quintile 5 most deprived

with White patients whilst hypertensive renal disease was more common amongst Black patients.

Comorbidity

Patients with missing data on comorbidity (N = 21,896, 42%) were excluded from the analyses. Data incompleteness was comparable between ethnic groups (p = 0.5). The results presented here should be interpreted with caution due to significant missing data. There was a wide variation in data completeness on comorbidity between centres. Results from analyses including only centres with data completeness of $\ge 50\%$ were similar.

Overall, the proportion of patients with at least one comorbidity was greater amongst White patients compared to South Asians and Black patients (55.5%, 45.8%, 37.1% respectively, p < 0.0001). However

Table 6.5. Percentage distribution of primary renal diagnosis, by ethnic group, in the incident cohort 2003-2012

		Asian			Black			White	
Diagnosis	<65	65+	All ages	<65	65+	All ages	<65	65+	All ages
Diabetes	36.1	47.9	40.2	23.8	46.2	31.0	24.1	17.0	20.5
Uncertain aetiology	19.7	23.9	21.2	15.6	14.2	15.2	12.6	24.6	18.7
Other	13.1	7.3	11.0	18.4	10.5	15.9	17.8	16.4	17.1
Glomerulonephritis	14.4	4.8	11.0	15.1	5.6	12.0	17.3	10.0	13.6
Pyelonephritis	6.2	4.2	5.5	2.9	4.2	3.3	8.9	7.9	8.4
Polycystic kidney	3.8	1.6	3.1	4.9	1.4	3.8	12.3	3.6	7.9
Renovascular disease	1.7	4.6	2.7	1.5	4.6	2.5	2.4	13.2	7.9
Hypertension	5.1	5.7	5.3	17.7	13.2	16.3	4.6	7.3	6.0
N with data	3,279	1,765	5,044	2,186	1,036	3,222	19,201	19,965	39,166
% data not available*	4.9	5.3	5.1	5.4	6.6	5.8	4.2	5.5	4.9

*This includes data not sent and data from centres excluded from analysis because ≥50% PRD of uncertain aetiology

Table 6.6.	Percentage	of patients	with	comorbidity	at start	of
RRT (2003-	-2012) by etl	hnic origin				

Comorbidity	Asian	Black	White
Coronary heart disease	25.1	9.8	22.0
Diabetes (not listed as PRD)	9.5	6.4	8.4
Diabetes (as PRD or comorbidity)	40.0	30.9	20.0
COPD*	3.7	2.2	7.6
Malignancy	4.1	6.2	13.5
Liver disease	4.0	4.7	2.6
Smoking	6.6	7.3	15.2
Vascular disease	15.2	14.8	20.1
One or more comorbidities present	45.8	37.1	55.5

*Chronic obstructive pulmonary disease

diabetes (both as primary renal disease and as a comorbidity not causing renal disease) was more common amongst the two ethnic minorities. Coronary heart disease was more common in South Asian and White patients compared to Black patients. Vascular disease, malignancy and smoking were more common amongst White patients (table 6.6). These trends were seen in both those aged <65 and \geq 65, although the magnitude of difference between the ethnic groups for the two age groups varied depending on the comorbidity (table 6.7).

Late presentation

19,817 (38.4%) patients were excluded from the analysis due to not having data on the date first seen by a nephrologist. Overall, late referral has decreased over the years with the majority (64%) of patients being referred at least a year or more prior to start of RRT compared to only 46% in 1997–2003, although one should interpret this with caution due to potential bias introduced by the significant proportion of missing data. This overall decrease in late referral compared to the previous cohort years was observed in all ethnic groups. However, late referral was more common amongst White patients compared to Black and South Asian patients (21.3%, 19.9%, 17.6% respectively, p < 0.0001). There was an age interaction with referral pattern between ethnic groups in that late referral was more common amongst White patients but only in those aged ≥ 65 (table 6.8). When stratified by diabetic status, there was no difference in late referral between ethnic groups (table 6.9). This suggests that the early referral patterns observed in Black and South Asian patients was probably due to higher incidence of diabetes in these groups.

Treatment modality

Haemodialysis (HD) was the commonest starting RRT modality in all ethnic groups (73.3%) followed by peritoneal dialysis (PD) (21.2%) and pre-emptive transplantation (5.6%). The proportion of patients starting PD was lower amongst Black and South Asians compared to White patients (16.4%, 18.4%, 21.9% respectively, p < 0.0001). Similarly, pre-emptive transplantation rates were lower amongst South Asian and Black patients compared to White patients (3.1%, 4.2%, 6.0% respectively, p < 0.0001). There was no difference (p = 0.6) in the type of kidney donor (post circulatory death donor, post brain stem death donor, live donor) between the ethnic groups amongst those who had a pre-emptive kidney transplant. Compared to those referred late (<90 days of RRT start), patients who were referred earlier were more likely to start on PD (25.0% vs. 11.2%, p < 0.0001) and had more pre-emptively transplantation (6.9% vs. 1.0%, p < 0.0001). This trend was seen in all ethnic groups except in Black patients where the pre-emptive transplantation rate was similar amongst those referred early and late (data not shown).

Table 6.7. Percentage of patients with comorbidity at start of RRT (2003-2012) by age and ethnic origin

	Asian		Black		White	
Comorbidity	<65	65+	<65	65+	<65	65+
Coronary heart disease	19.1	37.1	5.7	18.9	13.4	30.2
Diabetes (not listed as PRD)	7.4	13.9	5.1	9.1	5.0	11.7
Diabetes (as PRD or comorbidity)	35.9	47.6	23.7	46.2	23.7	16.6
COPD*	3.0	5.1	1.4	4.0	4.8	10.2
Malignancy	2.4	7.6	3.9	11.4	7.5	19.2
Liver disease	3.9	4.1	5.3	3.2	3.4	1.7
Smoking	7.3	5.3	8.2	5.2	18.1	12.5
Vascular disease	11.6	22.7	10.1	25.1	14.7	25.2
One or more comorbidities present	37.4	62.8	30.0	52.4	44.4	66.2

*Chronic obstructive pulmonary disease

	As	Asian		ıck	White	
Presentation	<65	65+	<65	65+	<65	65+
N	1,822	935	955	471	13,425	14,123
% <90 days	18.8	15.7	22.1	14.7	19.9	22.6
% 90–365 days	16.7	12.8	16.7	11.9	15.9	14.3
% >365 days	64.5	71.4	61.3	73.5	64.2	63.1

Table 6.8. Presentation in incident patients 2003-2012, by ethnicity and age

eGFR at start of RRT

The eGFR at start of RRT has increased over the years indicating patients are being started on RRT earlier in the course of their chronic kidney disease stage (CKD) (figure 6.3). This trend was observed in all ethnic groups. White patients started at a higher eGFR compared to Black and South Asian patients. The median eGFR at RRT start in 2003–2012 for White patients was 8.5 ml/min/1.73 m² compared to 8.0 ml/min/1.73 m² for Black and 7.8 ml/min/1.73 m² for South Asian patients (p < 0.0001). As missing data accounted for 49% of this cohort, caution should be taken in interpreting this result.

Preliminary work undertaken by the UKRR on a cohort of CKD stage 5 patients in the UK has shown that Black and South Asian patients had a much more rapid decline in their eGFR in the year preceding RRT compared to White patients despite adjustments for age, gender and primary renal disease (unpublished data).

Haemoglobin prior to start of RRT

Due to missing data, 25,134 (49%) patients were excluded. White patients had higher mean Hb (102.3 g/L) prior to start of RRT compared to South Asian patients (99.9 g/L, p < 0.0001) and Black patients (95.7 g/L, p < 0.0001). Data on erythropoietin use prior to start of RRT was not available to further explore the reasons for the differences in Hb at start of RRT between ethnic groups. As it is well known that diabetic patients (more common amongst Black and South Asian patients)

become more anaemic earlier in their CKD course compared to non-diabetics [11], a stratified analysis by diabetes status was performed but the results were similar (data not shown).

Patient outcome measures

Attainment of laboratory standards on dialysis

The proportion of patients in each ethnic group who achieved the Renal Association standard varied depending on the outcome measure studied. Table 6.10 shows the multivariate logistic regression model with and without adjustments for various confounding factors. Compared to White patients, South Asian patients had similar attainment of the Hb and PTH standards; better attainment for the URR and phosphate standards; and lower attainment of the calcium standard. Black patients had similar attainment of the Hb, calcium and PTH standards; lower attainment of the URR standard but better attainment of the phosphate standard.

Access to kidney transplantation

The UKRR in collaboration with the Organ Donation Transplantation Directorate of NHS Blood and Transplant (ODT) previously reported on access to kidney transplantation for the ethnic minority patients starting RRT in the years 1997–2004 [5, 6, 7]. Compared to the White patients, South Asian (hazard ratio (HR) 1.10, 95% CI 0.97–1.24) and Black patients (HR 0.95, 95% CI. 0.79–1.14) had similar rates of being listed for a kidney transplant once adjusted for various patient characteristics including social deprivation and centre

Table 6.9. Presentation in incident patients 2003–2012, by ethnicity, stratified by diabetes

	Asian		В	lack	White	
Presentation	Diabetic	Non-diabetic	Diabetic	Non-diabetic	Diabetic	Non-diabetic
Ν	1,024	1,584	403	937	5,335	20,394
% <90 days	12.1	21.3	11.9	21.7	10.3	23.4
% 90–365 days	18.4	13.1	18.1	13.5	17.7	14.2
% >365 days	69.5	65.7	70.0	64.9	72.0	62.5

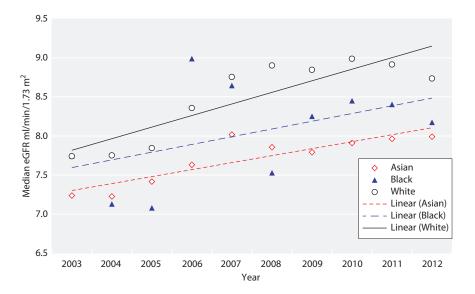


Fig. 6.3. Median eGFR at start of RRT by year of start and ethnic group

effects. However, once on the waiting list, South Asian (HR 0.74, 95% CI 0.65–0.85) and Black patients (HR 0.66, 95% CI 0.49–0.87) had lower rates of deceased donor kidney transplantation. Similarly the likelihood of living donor kidney transplantation in the fully adjusted analyses was lower for South Asian patients (odds ratio (OR) 0.66, 95% CI 0.45–0.96) and Black patients (OR 0.40, 95% CI 0.21–0.73) compared to White patients. A more recent analysis of patients starting RRT between 2006 and 2008 confirmed no ethnic disparities in access to waiting list but the lower rates of deceased donor transplantation once waitlisted, and for live donor transplantation persisted for the ethnic minorities [12].

Kidney transplant outcomes

One year graft outcomes

The analyses included 9,091 kidney only transplants. Of these kidney only transplants, 237 (2.5%) were excluded either due to lack of matching between the UKRR and ODT databases (N = 159) or lost to follow up (N = 78).

Graft failure (excluding deaths with functioning grafts) in the first year following kidney transplantation was greater for Black patients (7.5%) and South Asian (6.1%) patients compared to White patients (4.2%) (p = 0.0001). However, in the multivariate Cox regression analyses censoring for death, South Asian patients had a similar graft survival but Black patients a lower graft survival compared to White patients (table 6.11). Results were similar when analyses were repeated with death as a competing risk event. Amongst those who had a functioning graft at one year post kidney transplantation (N = 8,479), the median eGFR was better for Black (57.2 ml/min/1.73 m², interquartile range (IQR) 42.9-71.5) and South Asian (58.5 ml/min/1.73 m², IQR 45.2, 73.3) patients compared to White (51.5 ml/min/1.73 m^2 , IQR 40.0, 64.1, p < 0.0001) patients.

Five year graft outcomes

For the analyses, 2,912 kidney only transplants were included. Of these kidney only transplants, 126 (4.1%) were excluded either due to lack of matching between

Table 6.10. Odds ratio (OR) (95% confidence interval) of attainment of RA standards at one year after starting RRT in dialysis patients, in Asian and Black patients compared to White patients

	W	/hite		Asian		Black			
	OR	Ν	Unadjusted	Adjusted	Ν	Unadjusted	Adjusted	Ν	
Haemoglobin	1	23,982	1.01 (0.94–1.09)	1.03 (0.94–1.11)	3,255	0.98 (0.90-1.07)	0.94 (0.85-1.04)	2,135	
Calcium	1	21,375	0.85 (0.79-0.92)	0.89 (0.81-0.97)	3,018	0.86 (0.78-0.94)	0.95 (0.85-1.06)	2,023	
Phosphate	1	23,559	1.03 (0.96-1.11)	1.15 (1.06-1.25)	3,221	1.05 (0.96-1.15)	1.21 (1.09–1.34)	2,114	
PTH	1	20,553	1.12 (1.04–1.21)	1.05 (0.96-1.15)	2,685	1.05 (0.95-1.15)	0.97 (0.87-1.09)	1,737	
URR	1	14,393	1.62 (1.42–1.84)	1.73 (1.49–2.00)	1,961	0.81 (0.70-0.93)	0.77 (0.65–0.91)	1,011	

	Unadjusted Cox-regression		Adjusted Cox-regression		
	HR (95% CI)	p-value	HR (95% CI)	p-value	
Asian Black White	1.4 (1.1–1.9) 1.8 (1.3–2.5) 1 (reference)	0.01 0.0004	1.3 (0.9–1.9) 1.7 (1.2–2.3) 1 (reference)	0.1 0.0007	

Table 6.11. Cox-regression analysis of one year graft failure by ethnicity of kidney-only transplants between 2003 and 2011

the UKRR and ODT databases (N = 101) or lost to follow up (N = 25). Graft failure (excluding deaths with functioning grafts) at five years following kidney transplantation was greater for Black patients (17.2%) compared to South Asian (9.2%) and White (9.8%) (p = 0.03)patients. In the multivariate Cox regression analyses censoring for death, White and South Asian patients had a similar graft survival but Black patients had lower graft survival (table 6.12). Results were similar when analyses were repeated with death as a competing risk event. Amongst those who had a functioning graft at five years post kidney transplantation (N = 2,482), the median eGFR was better for Black (60.4 ml/min/1.73 m², IQR 42.8-75.7) and South Asian (58.1 ml/min/1.73 m², IQR 44.7, 71.3) patients compared to White patients (50.3 ml/ $min/1.73 m^2$, IQR 38.0, 64.2, p < 0.0001).

Patient survival

Figure 6.4 shows the unadjusted survival in the first year of RRT for the different age groups. Overall, South Asian and Black patients have better survival than White patients and this is more apparent in the 55–75 age groups. The survival of patients on RRT in the first year has improved over the years 2003–2011 for both South Asian and White patients but there appears to be a declining trend for Black patients (figure 6.5). In the multivariate adjusted Cox regression analysis including 41920 patients, survival after 90 days of starting RRT without censoring for transplantation was better for South Asian and Black patients compared to White patients (table 6.13). Results were similar when censored for transplantation (data not shown). Deaths due to cerebrovascular disease, ischemic heart disease and infection were more common for South Asian and Black patients, whilst deaths due to malignancy, withdrawal from RRT and other causes were more common in White patients. These trends were seen both in those aged <65 and ≥ 65 years (table 6.14).

Hospitalisation episodes

The number of admissions and the number of admitted days per year was greater for HD patients compared to PD patients. Amongst HD patients, the number of admissions and the number of admitted days per year was greater for White patients compared to South Asian and Black patients (p < 0.001); for PD patients, there was no major difference seen between the ethnic groups (unpublished data). The reasons for admission for the ethnic groups are shown in table 6.15. Cautious interpretation from these data is required as a significant proportion of patients had 'CKD not otherwise specified' coded as a reason for the hospitalisation.

Discussion

Data completeness on ethnicity has improved over the most recent years reducing the probability of selection bias that might have occurred due to missing ethnicity data in the previous years' reports. Therefore, one should interpret with caution any perceived time trends in incidence rates or patient demographics between ethnic groups.

Table 6.12. Cox-regression analysis of five year graft failure by ethnicity of kidney-only transplants between 2003 and 2007

	Unadjusted Cox-regression		Adjusted Cox-regression		
	HR (95% CI)	p-value	HR (95% CI)	p-value	
Asian Black White	0.9 (0.6–1.5) 1.8 (1.1–2.8) 1 (reference)	0.8 0.01	0.9 (0.6–1.4) 1.5 (1.1–2.1) 1 (reference)	0.6 0.02	

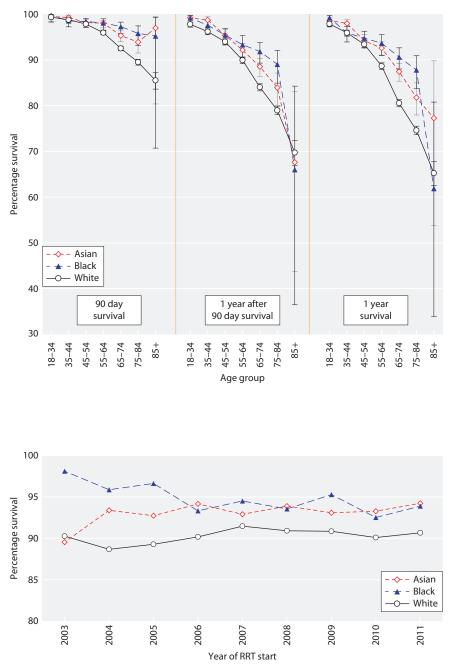


Fig. 6.4. Unadjusted survival by age group and ethnicity in patients starting RRT between 2003 and 2012

Fig. 6.5. Age-60 adjusted survival one year after 90 days of incident patients by year of RRT start and ethnic group

Table 6.13. Cox-regression analysis of patient survival after 90 days from RRT start, by ethnic group, incident cohort 2003–2012

	Unadjusted Cox-regression		Adjusted Cox-regression		
	HR (95% CI)	p-value	HR (95% CI)	p-value	
Asian Black White	0.63 (0.59–0.67) 0.5 (0.46–0.54) 1 (reference)	<0.0001 <0.0001	0.68 (0.60–0.77) 0.58 (0.52–0.64) 1 (reference)	<0.0001 <0.0001	

		All ages		Age <65		Age ≥65			
	Asian	Black	White	Asian	Black	White	Asian	Black	White
N deaths	1,477	788	17,476	661	359	4,993	816	429	12,483
% of incident patients	27.4	22.9	40.9	18.9	15.4	24.0	43.2	38.5	57.0
COD (%)									
Cerebrovascular disease	6.7	8.4	3.9	7.2	8.3	3.4	6.3	8.5	4.0
Cardiac disease	29.8	26.2	22.0	32.0	25.4	25.0	27.9	27.0	20.9
Infection	22.2	19.1	17.3	21.9	23.3	18.0	22.5	15.2	17.0
Malignancy	6.4	7.2	9.5	5.9	6.2	10.7	6.9	8.1	9.1
Other	17.4	16.3	24.6	18.7	21.2	27.5	16.3	11.9	23.4
Treatment withdrawal	9.4	12.1	17.1	5.3	7.3	10.0	12.7	16.6	19.8
Uncertain	8.1	10.6	5.6	9.1	8.3	5.4	7.4	12.8	5.7
N with no COD data	654	384	7,984	286	166	2,348	368	218	5,636

Table 6.14. Cause of deaths for incident patients 2003-2012 that died by the end of 2012, by ethnic group

COD = cause of death

Black and South Asian patients were younger compared to White patients. This, to a certain extent, was probably a reflection of the younger age distribution for ethnic minorities in the general population with only

Table 6.15. Cause of hospitalisation from 90 days to one year following the start of dialysis amongst incident patients between 2002–2006, by ethnic group

	Percentage				
Cause of hospitalisation	Asian	Black	White		
Abdominal pain	2.7	1.9	1.7		
Access	19.6	23.3	17.9		
Biochemistry	1.2	2.4	1.5		
Bronchitis	4.7	3.2	3.6		
Cancer	0.8	1.2	2.2		
Catheter	1.0	1.3	1.6		
Chest pain	2.7	1.4	1.6		
CKD codes	32.5	33.3	34.1		
CVA	0.7	0.7	0.7		
Fracture	1.8	1.3	2.5		
Gastroenteritis	3.7	2.6	3.4		
GI bleed	0.3	0.4	0.8		
Hernia	0.4	0.6	0.9		
High risk sepsis	3.3	3.2	3.3		
Ischaemic heart disease	6.3	3.7	5.9		
Low risk sepsis	2.9	2.0	1.8		
Miscellaneous	6.7	8.9	7.3		
Neuro	1.9	2.2	1.9		
Overload	2.5	2.6	2.4		
Peritonitis	1.1	1.5	1.2		
Syncope	1.6	1.4	2.0		
UTI	1.7	0.8	1.7		
Total numbers	1,989	1,802	28,104		

CVA = cerebrovascular accident

UTI = urinary tract infection

6% of Black and South Asian patients being aged \geq 65 years compared to 18% of White patients [1]. It is well established that the progression to ERF and the incidence of RRT is much greater amongst ethnic minorities compared to Whites [13–18]. However, these analyses showed that the disparity in incidence rates was more pronounced amongst those aged \geq 65 years and the reasons for this are not obvious.

Life expectancy estimates for ethnic minorities in the general population are lower than for the White population [19] and therefore the higher incidence amongst the elderly ethnic minority patients cannot be attributed to the possibility of them living longer to reach ERF. It is also not known if there are variations in the uptake of conservative management of ERF between the ethnic groups. Although the incidence of RRT (supply) is higher in the ethnic minorities, population estimates of CKD stage 5 (demand) are needed to ensure that there is no ethnic disparity in access to RRT (demand–supply mismatch).

The proportion of patients starting RRT who had at least one comorbidity was greater amongst White patients although ill-health is generally more frequently reported by ethnic minorities in the general population [20]. However, the comorbidity patterns in the RRT population are consistent with greater incidence of coronary heart disease in South Asian patients, cerebrovascular accidents in Black patients and lower cancer rates seen in ethnic minorities in the general population [20].

Early referral to a renal centre was associated with better uptake of PD. However despite being referred earlier, ethnic minorities had lower uptake of PD and lower Hb at start of RRT. They also started RRT at a lower eGFR compared to White patients. The lower uptake of PD seen in ethnic minorities may however be as a consequence of confounding by differing centre practices of PD use. It is also possible that the unexpected rapid decline in kidney function in the preceding year of RRT (unpublished work by UKRR) could have resulted in insufficient time for adequate education about dialysis modalities to enable patients to choose PD, or the appropriate management of anaemia prior to the need for RRT.

However, once established on dialysis, the attainment of laboratory standards was better or similar for the ethnic minorities for most standards except calcium for South Asian and URR for Black patients. Importantly, the attainment of the Hb standard (which was lower at start of RRT) was no longer different between the ethnic groups at one year from start of RRT. Data on use of calcium containing phosphate binders, vitamin D analogues, duration of HD session and type of vascular access are not available to explore the reasons for these differences. These results are slightly different from those previously reported [21] on a cohort of patients starting RRT between 1997-2004 in which attainment of Hb ≥100 g/L was lower amongst Black patients and attainment of PTH $\leq 32 \text{ pmol/L}$ was lower for South Asian and Black patients. These differences were probably due to the different range used for each of the laboratory measures analysed in this report to comply with current UK guidelines. When analyses were repeated using the previous RA standards, results were similar to the earlier report.

It is reassuring to note equitable access to the transplant waiting list for ethnic minorities but there continues to be a disparity in access to deceased donor transplantation once on the waiting list. It is well acknowledged that this is due to blood group and HLA disparity compared with the predominantly White donor pool in the UK. The new UK organ allocation scheme introduced in 2006 gave a greater emphasis in the points scoring system to patients waiting longer for a transplant. The lack of observed impact in this report following the introduction of the new scheme may be due to the fact that the majority of patients included in this report irrespective of their ethnicity would have waited for a similar duration of time on the waiting list, whereas the new allocation scheme would have improved access to a small proportion who were on the waiting list well before 2006. Living donor transplantation rates were lower for ethnic minorities and several recipient and donor factors have been suggested including fewer

approaches or less active encouragement by nephrologists to seek living related donors [22]; lack of suitable donors with family members living outside the UK who are therefore unable to be assessed or complete donor work up; and high prevalence of diabetes in the immediate family [23]. It has also been observed that Black patients on dialysis had more positive coping strategies than Whites and this may affect their perception of the need for transplant [24].

The poor graft survival for Black patients reported in this cohort is consistent with previous reports from the UK [25, 26] and the USA [27, 28]. However a study from France suggested that compared to White patients, graft survival was similar for Black patients with a genetic pool similar to African Americans suggesting the possible role of social deprivation and health care access in poor outcomes for Black patients in the USA [29]. In the analyses, these disparities were observed despite adjustments for area level deprivation. Black and Indo Asian patients have a greater likelihood of receiving kidneys at higher risk of delayed or inferior outcomes, i.e. expanded criteria donor (ECD) kidneys, compared to White patients in the USA [30]. Previous UKRR work in collaboration with ODT has shown that Black and South Asian patients were more likely to receive kidneys with longer cold ischaemic time and HLA mismatches both of which could influence graft survival [7]. Donor information for this cohort was not available to explore the reasons for the apparent persistent inferior graft survival for Black patients in the UK.

There was a paradox in that Black and South Asian patients despite having reduced life expectancy in the general population [19] appeared to have better survival on dialysis. No adjustment for baseline comorbidity was made in this report due to incomplete data but these results are consistent with previous studies from North America and the UK that have adjusted for baseline comorbidity although residual confounding from missing comorbidity data could not be excluded in these studies [31, 32, 33].

Hospitalisation rates were higher for White patients on dialysis compared to South Asian and Black patients. Due to several of these episodes being coded as 'CKD not otherwise specified', it was not possible to determine if the increased hospitalisation rates amongst White dialysis patients was due to newly acquired comorbidity whilst on RRT that could account for the increased mortality. Several mechanisms including better adaptation on dialysis, better social support, less withdrawal from dialysis and greater use of Vitamin D analogues amongst ethnic minorities have also been suggested for better survival amongst ethnic minority dialysis patients [34, 35, 36, 37]. Another possible mechanism suggested for this paradox is survivor bias i.e. ethnic minority patients with CKD and significant comorbidity are more likely to die prematurely before reaching ERF or possibly less likely to be referred or accepted onto RRT [38]. However a more recent study from the USA has shown that mortality is similar between Black and White patients with CKD stages 3–4 questioning this hypothesis [39].

Another possible mechanism is lead time bias. White patients started RRT at a slightly higher eGFR compared to ethnic minorities in this study. However, this difference was clinically very small to entirely account for the ethnic differences in mortality observed in this study. It is well established that Black and South Asian patients have rapid progression from their underlying CKD to ERF. It is therefore possible that they have less 'CKD vintage' compared to the White patients i.e may therefore start RRT early with a reduced arteriosclerotic load when compared with the White population. Although ischaemic heart disease was more common amongst South Asian patients, the proportion of patients with at least one comorbidity and those with vascular disease and smoking were more prevalent in White patients. Further studies examining survival from a predefined eGFR early in the course of CKD stage 4–5 are needed to explore this hypothesis with more detailed assessment of CVD (e.g. LVEF, ABPI etc.).

There are other patient outcome measures that merit comparison between ethnic groups on RRT in the UK such as quality of life and mental health. This is currently within the remit of collaborative work being considered by the UKRR. Data on cause of hospitalisation episodes for dialysis patients are required to help understand the differences in survival between the ethnic groups.

This report confirms the persistent high incidence of RRT, the better survival on dialysis and the poor access to kidney transplantation for South Asian and Black patients and early allograft loss for Black patients.

This, in the context of increasing ethnic diversity of the general population and ageing of ethnic minorities will have a significant impact on the prevalence of ethnic minority patients on dialysis and impose a disproportionate demand on dialysis provision in those areas with a high ethnic minority population. More effort is needed to reduce progression of CKD to ERF in ethnic minorities.

Conflicts of interest: none

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